

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application)	<u>PATENT APPLICATION</u>
)	
Inventor: Xianqiang Li)	
)	Art Unit: Unassigned
Application No.: Unassigned)	
)	Examiner: Unassigned
Filed: Herewith)	
)	
Title: Method, Array, And Kit For Detecting)	
Activated Transcription Factors)	

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

- ☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☒ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; **or**
- ☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☒ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:
- ☒ In view of the voluminous nature of references, and the likelihood that these references are available to the Examiner in the file history of the parent application (Serial No. 09/877,243), copies are not enclosed herewith.
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.
- ☒ Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a)(2). (The U.S. patents and each U.S. patent application publication listed on the attached Form PTO-1449 are not enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the national stage under 35 USC §371 after June 30, 2003 (see USPTO waiver of requirement under 37 CFR 1.98 (a)(2)(i).
- ☒ There are no listed references which are not in the English language.
- ☐ The relevance of those listed references which are not in the English language is as follows:


- ☐ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.
- ☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Docket No. 26757-702.301).

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Dated: Feb. 13, 2004 By: _____


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INFORMATION DISCLOSURE CITATION PTO-1449		ATTY. DOCKET NO. 26757-702.301		SERIAL NO. Not Yet Assigned		
		APPLICANT Xianqiang Li				
		FILING DATE Herewith		GROUP Unassigned		
U.S. PATENT DOCUMENTS						
EXAMINE R'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	US 2003-0008283 A1	01/09/2003	Li et al.			
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	5,403,712	04/04/95	Crabtree, et a.	435	6	
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	5,578,444	11/26/96	Edwards, et al.	435	6	
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	5,849,493	12/15/98	Montminy, et al.	435	6	
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	6,040,138	3/21/00	Lockhart, et al.	435	6	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Thiel, Karl A., "Understanding Your Inner Transistor Radio: The Large-Scale Study of Gene Regulation", http://www.doubletwist.com/news/co.../article.jhtml?section=weekly01&name=weekly011 , May 26, 2000					
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U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	6,100,032	8/8/00	Kern, et al.	435	6		
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	6,150,090	11/21/00	Baltimore, et al.	435	6		
	6,153,383	11/28/00	Verdine, et al.	435	6		
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	6,180,763	01/30/01	Sherr et al.	530	413		
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	6,194,638 B1	2/27/01	Dhugga, et al.	800	284		
	6,197,580 B1	3/6/01	Susulic, et al.	435	325		
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 01/16605	03/08/01	PCT	G01N	33/68		
	WO 00/04196	01/27/00	PCT	C12Q	1/68		
	WO 99/10535	03/4/99	PCT	C12Q	1/68		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	Pisetsky, David S. et al., "Binding Specificity of a Monoclonal Anti-DNA Antibody", <i>Molecular Immunology</i> , Sept. 16, 1981, Vol. 19, No. 5, pp. 645-650						
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	Velculescu, Victor E. et al., "Serial Analysis of Gene Expression", <i>Science</i> , Oct. 20, 1995, Vol. 270, pp. 484-487						
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	6,406,863	06/18/2002	Li et al.			
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EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Nallur, G.N. et al., "Multiplex Selection Technique (MuST): An Approach to clone trascription factor binding sites", <i>Proc. Natl. Acad. Sci.</i> , Feb. 1996, Vol. 6, No. 93, pp. 1184-1189					
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
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	Zehetner, Gunther, "The Reference Library System – sharing biological material and experimental data", <i>Nature</i> , Feb. 3, 1994, Vol. 367, pp. 489-491						
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